

SCHEUDLE 8: FEE SCHEDULE AND DISCLOSURE OF MONETARY AND NON-MONETARY BENEFITS

附表 8: 收費表及金錢與非金錢利益披露

1. FEE SCHEDULE 收費表

Relevant service fees & transaction charges will be withdrawn from your accounts on the receipt day of instruction.

有關服務收費及交易費用將於指令接收日從閣下賬戶中支取

1.1 General Fees 一般費用

Fees are in HKD unless specified otherwise. 收費除特別註明之外，皆以港幣計算。

A: Hong Kong Securities – Trade-Related Services A: 香港證券 – 證券交易服務		Fees 收費	Minimum 最低	Maximum 最高
Brokerage Commission 經紀佣金	via electronic services 透過電子服務	0.15% of transaction amount 成交金額之 0.15%	HKD 50 港幣 50 元	
	via non-electronic services 透過非電子服務	0.25% of transaction amount 成交金額之 0.25%	HKD 100 港幣 100 元	
Market Fees 市場費用	Stamp Duty ¹ 印花稅	0.1% of transaction amount 成交金額之 0.1%		
	Transaction Levy 交易徵費	0.0027% of transaction amount 成交金額之 0.0027%		
	Trading Fee 交易費	0.00565% of transaction amount 成交金額之 0.00565%		
	FRC Transaction Levy 財務匯報局交易徵費	0.00015% per side of the consideration of a transaction (rounded to the nearest cent) 稅率為每宗交易金額 0.00015% (計至最接近的仙位數)		
	CCASS Charge 中央結算收費	0.0042% of transaction amount 成交金額之 0.0042%		
	0.5% on market value of settlement date 在交收日該股票收市總值之 0.5%			

B: Hong Kong Stock Corporate Action B: 香港股票企業行動		Fees 收費	Minimum 最低	Maximum 最高
Custody Fee 託管費		Waived 豁免		
Scrip Fee 登記過戶費		HKD 1.5 per lot 每手港幣 1.5 元		
Cash / Scrip Dividend Handling 代收現金股息/股票股息		0.5% of gross dividend amount 股息總額之 0.5%	HKD 30 港幣 30 元	
Bonus Shares Collection 代收紅股		HKD 2 per lot 每手港幣 2 元	HKD 50 港幣 50 元	
Handling of Rights Issue / Rights / Warrants Exercise 處理供股權 / 行使供股 / 認股權		HKD 100 per trade 每宗交易港幣 100 元		

B: Hong Kong Stock Corporate Action B: 香港股票企業行動		Fees 收費	Minimum 最低	Maximum 最高
Excess Right Application 申請額外供股權		HKD 100 per trade 每宗交易港幣 100 元		
Tendering Shares Under a Takeover Bid / Privatization 在收購邀約下提交股份 / 私有化		0.5% of Takeover / Privatization amount 收購總額之 0.5%	HKD 30 港幣 30 元	
Handling Share Consolidation / Split 處理股份合併 / 分拆		Waived 豁免		

C: Hong Kong Stock IPO Subscription C: 香港新股認購		Fees 收費	Minimum 最低	Maximum 最高
Brokerage Commission 經紀佣金		1% of transaction amount 成交金額之 1%	HKD 100 港幣 100 元	
Market Fees 市場費用	Transaction Levy 交易徵費	0.0027% of transaction amount 成交金額之 0.0027%		
	Trading Fee 交易費	0.005% of transaction amount 成交金額之 0.005%		
Late or Under Payment of Down Deposit 逾期或不足交付認購按金		0.1% per day on outstanding amount 每日收取欠款額之 0.1%		

D: USA Shares - Trade Related Services D: 美國證券- 證券交易服務		Fees 收費	Minimum 最低	Maximum 最高
Brokerage Commission 經紀佣金	via electronic services 透過電子服務	0.25% of gross amount 成交金額之 0.25%	USD 100 100 美元	
	via non-electronic services 透過非電子服務	0.5% of gross amount 成交金額之 0.5%	USD 150 150 美元	
SEC Fee 美國證交會徵費		Waived from 14 May 2025 2025 年 5 月 13 日起免收		
Fund Deposit 存款		Free (not including receiving bank's charge) 免費 (收款銀行費用另計)		
ADR pass-through fees ADR 代收費用		Range from US\$ 0.01- US\$ 0.03 per share; actual charge may vary by the ADR custodian		
Transaction Activity Fees 交易活動費		\$0.000195 per share (on Sell trade only) 每股收取 0.000195 美元 (僅在賣出交易徵收)	USD 0.01 0.01 美元	USD 9.79 9.79 美元
Clearing Fees 交收費		\$0.003 per share 每股收取 0.003 美元	USD 0.01 0.01 美元	

E: USA Stock Corporate Action E: 美國股票企業行動	Fees 收費	Minimum 最低	Maximum 最高
Cash / Scrip Dividend Handling 代收現金股息/股票股息	0.5% on gross dividend 股息總額之 0.5%	USD 20 20 美元	
Bonus Shares Collection 代收紅股	USD 20 per transaction 每宗交易 20 美元		
Other corporate actions 其他企業行動	0.1% Gross offer amount 相關交易總額 0.1%	USD 20 20 美元	
Custody Fee 託管費	Waived 豁免		

F: Listed Bond F: 上市債券	Fees 收費	Minimum 最低	Maximum 最高
Brokerage Commission 經紀佣金	0.35% of transaction amount 成交金額之 0.35%	HKD 100 港幣 100 元	
Custody Fee 託管費	0.2% p.a. on the end value of holdings (Jun 30 & Dec 31) and charged semi-annually 以 6 月 30 日及 12 月 31 日收市之持債價值按年率 0.2% 計算，並每半年收取		
Electronic Deposit and Withdrawal Fee 電子存入/提取費	HKD 400 per trade 每宗交易港幣 400 元		

G: Unlisted Bond Placing G: 場外債券配售	Fees 收費	Minimum 最低	Maximum 最高
Brokerage Commission 經紀佣金	Waived 豁免		
Custody Fee 託管費	0.2% p.a. on nominal value and charged semi-annually 以面值按年率 0.2% 計算，並每半年收取		
Settlement Fee 結算費	HKD 300 per transaction 每宗交易港幣 300 元		
Redemption Fee 贖回費	HKD 260 per transaction 每宗交易港幣 260 元		
Bonds Conversion Fee 債券轉換費	HKD 500 per transaction 每宗交易港幣 500 元		
Bonds Coupon interest 債券派息費	HKD 600 per transaction 每宗交易港幣 600 元		

H: Mutual Funds, Unit trusts or Private Market Funds H: 互惠基金, 單位信託或私人市場基金	Fees (in fund currency) 收費 (據基金貨幣)	Minimum 最低	Maximum 最高
Subscription fee 申購費	Up to 5% 上限 5%	1% or USD 250 1% / 250 美元	5%
Switching fee 轉戶費	1% of switching amount 轉移金額之 1%	HKD200 港幣 200 元	

H: Mutual Funds, Unit trusts or Private Market Funds H: 互惠基金, 單位信託或私人市場基金	Fees (in fund currency) 收費 (據基金貨幣)	Minimum 最低	Maximum 最高
Transfer-out 轉出費	Administration fee of HKD500 per fund transferred 每種基金行政費港幣 500 元		
CIES fund custody fee 資本投資者入境計劃資金託管費	Up to 0.3% p.a. 上限每年 0.3%	0.1% p.a. 每年 0.1%	0.3% p.a. 每年 0.3%
3 rd party fund custody fee 第三方基金託管費	Free 免費		

I: Structured Products I: 結構性產品	Fees (in product currency) 收費 (據產品貨幣)	Minimum 最低	Maximum 最高
Subscription fee 申購費	Up to 2%, depending on type of structured product 上限 2%, 取決於結構產品的類型	USD 200 200 美元	2%
Redemption fee (on secondary market) 贖回費 (在二級市場上)	Up to 2% 上限 2%	USD 200 200 美元	2%
Receipt of cash 收取現金	0.5% if cash received 如收取現金, 則為 0.5%		HKD1,000 港幣 1,000 元
Receipt of stock 收取股票	HKD10 per broad lot + 0.1% Stamp duty (collected by HKSAR Government) 每手港幣 10 元+ 0.1%印花稅 (香港特別行政區政府收取)	HKD50 港幣 50 元	
Handling charge for dividend claims 股息索償手續費	HKD300 per claim by nominee company per distribution & 0.5% on cash dividend 代理公司每次分派每份索償港幣 300 元 以及現金股息的 0.5%	HKD300 & HKD30 港幣 300 元 及 港幣 30 元	

J: Scrip Handling, Settlement-Related and Other Services J: 處理實物股票、交收及其他服務	Fees 收費	Minimum 最低	Maximum 最高
Physical Scrip Deposit Fee 存入實物股票費	HK-listed stock: HKD 5 per certificate or transfer deed 港股: 每張股票或轉手紙港幣 5 元		
	USA: On disbursement basis only 美股: 按實繳金額收取		
Physical Scrip Withdrawal Fee 提取實物股票費	HK-listed stock: HKD 5 per lot 港股: 每手港幣 5 元	HKD 50 港幣 50 元	
	USA Stock: USD 50 per stock 美股: 每隻股票 50 美元		
Transfer Deed Stamp Duty 轉手紙釐印費	HKD 5 per transfer deed 每張轉手紙港幣 5 元		
Settlement Instruction Fee (Stock withdrawal) 交收指示費 (轉出股票)	0.01% of market value (based on previous closing price) and handling fee \$100/stocks 市值(以上日收市價計算)之 0.01% 及 每檔股票港幣 100 元	HKD 400 港幣 400	

J: Scrip Handling, Settlement-Related and Other Services J: 處理實物股票、交收及其他服務	Fees 收費	Minimum 最低	Maximum 最高
Unlisted Bond Deposit 場外債券轉入費	Waived 豁免		
Unlisted Bond Withdrawal 場外債券轉出費	HKD 300 per bond 每檔債券港幣 300 元		

K: Remittance Charges on fund withdrawal K: 提款費用	Fees 收費	Minimum 最低	Maximum 最高
Local Bank Transfer - Withdrawal (CHATS) 本地銀行 CHATS 轉賬提款	HKD transfer: HKD 300 per transfer 港幣轉賬: 每筆港幣 300 元		
	USD transfer: USD 60 per transfer 美元轉賬: 每筆 60 美元		
Telegraphic Transfer to Overseas Bank – Withdrawal 電匯 (T/T) 至外地銀行	HKD transfer: HKD 450 per transfer 港幣轉賬: 每筆港幣 450 元		
	USD transfer: USD 60 per transfer 美元轉賬: 每筆 60 美元		
Returned Cheque 銀行退票	HKD 200 per cheque 每張退票港幣 200 元		

L: Account Management L: 帳戶管理	Fees 收費	Minimum 最低	Maximum 最高
CIES Account Administration Fee 投資移民帳戶行政費	Formal Approval date upon account opening: Achieved 4 th year HKD 15,000; Below 4 th year HKD 30,000 (One-off) 於開戶時正式批准年份達到: 第四年以上 港幣 15,000 元; 未達四年 港幣 30,000 元(一次性)		
Dormant Account Fee 不動帳戶費	HKD 2,000 per month 每月港幣 2,000 元		
Physical Statement Delivery Charge 郵寄結單費	HK\$300 per annum 每年港幣 300 元		
Duplicate Statement Copy 結單副本	HKD 50 each 每份港幣 50 元		
Audit Confirmation 核數證明	HKD 300 each 每份港幣 300 元		
Reference Letter 財務諮詢函件	HKD 300 each 每份港幣 300 元		

Note 1:

Hong Kong listed bonds and ETF are currently exempted from Stamp Duty (collected by HKSAR Government). For securities exempted from Hong Kong Stamp Duty (collected by HKSAR Government), it is subject to change from time to time and please refer to the latest announcement from HKEX's website at www.hkex.com.hk for reference. Please note that VSAL will debit the transaction levy, trading fee and stamp duty for non-HKD denominated securities from customers in the non-HKD denomination based on the calculation provided in the above table and such fees and charges will be paid to the relevant authorities in HKD based on the exchange rate prescribed by the relevant authorities. If there are insufficient funds in your non-HKD settlement account (same as the currency of the securities) to settle the related fees and charges, VSAL shall have the right to collect such fees and charges in HKD from any of your HKD account. VSAL reserves the right to revise any charges, special offers and other services from time to time.

註:

(1) 香港上市之債券及交易所買賣基金現獲豁免繳付香港印花稅, 有關獲豁免香港印花稅(由香港特區政府收取)之證券, 將

不時有所修改，請參閱香港交易所網頁 www.hkex.com.hk 之最新公佈。請注意，就香港上市之非港元計價證券，意博會按上表所述的計算方法以非港元向客戶扣取有關交易徵費、交易費及印花稅，而該等費用及收費將會以有關機構所訂明的匯率以港元支付予有關機構。如閣下非港元（與證券貨幣相同）交收戶口之資金不足以支付有關之費用及收費，意博有權於閣下之任何港元戶口以港元收取該等費用及收費。意博有權隨時調整任何收費、優惠及其他服務。

1.2 Other Account Management Fees 其他帳戶管理費用

Definition 定義

- (a) **“Net Asset Value (NAV) of the Portfolio”** means the total value of all the assets less any liabilities in the Portfolio. Net Asset Value shall be computed in the absolute discretion of VSAL on a monthly basis based on the closing prices of the relevant investments in the Portfolio on the Hong Kong Stock Exchange or other principal market for such investments or, in the absence of any trades, shall be based upon the mean between the lowest available dealing offered price and the highest available dealing bid price for those securities, and market rates of the foreign exchange positions, and shall include cash balances, accrued interest, dividend income and capital gains. If no price quotations are available, the value of such investments shall be determined from time to time in such manner as VSAL shall determine. Any value otherwise than in Hong Kong dollars will be converted into Hong Kong dollars at the rate (whether official or otherwise) which VSAL shall in its absolute discretion deem appropriate in the circumstances having regard inter alia to any premium or discount which it considers may be relevant and to costs of exchange. **「投資組合資產淨值」** 指於投資組合內的所有資產減去任何負債的總價值。資產淨值按意博的絕對酌情權，以每月為基礎，基於該投資組合內相關投資於香港聯交所或其他主要市場的收市價計算；或如並無任何成交，將基於該等證券之可取得的最低賣出價及最高買入價的中間價，及外匯持牌部位的市場價計算；並應包括現金餘款、累計利息、股息收入及資本增值。如未有報價提供，該等投資的價格需按意博不時絕對酌情決定的方式決定。任何非以港幣計算的價格，將會以意博經考慮包括任何溢價或折價及兌換成本後，按其絕對酌情決定認為合適的匯率（不論官方或其他利率）兌換成港幣。
- (b) **“Performance Period”** means each calendar quarter ending on 31 March, 30 June, 30 September, or 31 December of each year, provided that the first Performance Period shall commence on the date of this Agreement and end on the last day of the calendar quarter for the time being. **「業績期」** 指每年的每曆年季度完結日，即 3 月 31 日、6 月 30 日、9 月 30 日或 12 月 31 日，前提是首次業績期需於本協議簽署日起開始；及於每曆年季度的最後一天完結。
- (c) **“High Water Mark”** means the greater of the Net Asset Value of the Portfolio calculated as at the date of this Agreement and the highest Net Asset Value attained in any previous Performance Period in respect of which a Performance Fee has been paid. For the first Performance Period, the High Water Mark shall be the amount of the Initial Investment Amount. **「高水位線」** 指基於本協議簽署日計算的投資組合的資產淨值及於任何過去已支付表現費的表現期內取得的最高淨資產值的較高者。就首業績期而言，高水位線需為初始投資金額。

Please choose 請選擇	Fees 收費	Rate 費率%	Frequency 頻率	Basis 基準
	Set Up Fee 設立費	2%	One-off 一次性	on the Initial Investment Amount 基於初始投資金額
	Advisory Fee / Management Fee 諮詢費 / 管理費	2%	Per annum 每年	on the NAV of the Portfolio accrued and calculated on the basis of the Net Asset Value of the Portfolio as at the end of the day for each calendar month and payable monthly in arrears 基於每年按投資組合資產淨值累計，按每曆月最後一天的投資組合的淨資產值為基礎累計及計算
	Performance Fee 表現費	20%	Per quarter 每季	on the appreciation in the NAV of the Portfolio (after deduction of the Advisory Fee or the Management Fee) during that Performance Period above the High Water Mark; 基於每段業績期內投資組合的淨資產值超出高水位線之增長（於扣除諮詢費或管理費後）

Please choose 請選擇	Fees 收費	Rate 費率%	Frequency 頻率	Basis 基準
	Early Termination Fee 提前終止費用			
	<1 year 1 年內	3%	One-off 一次性	on the early withdrawal amount 基於提前提款金額
	>=1 years but <2 years 多於或等於 1 年 但少於 2 年	2%		
	>=2 years but <3 years 多於或等於 2 年 但少於 3 年	1%		

2. DISCLOSURE OF MONETARY AND NON-MONETARY BENEFITS 金錢與非金錢利益披露

2.1 Taking of Soft Commission and Rebates 收取非金錢佣金及回佣

The Client acknowledges and consents VSAL is hereby authorized, to the extent permitted by applicable laws and regulatory requirements, to:

客戶確認並同意，在適用法例及監管要求允許的範圍內，意博在此獲授權：

- (a) solicit, receive and retain or offer, pay commission, cash rebates, goods and services and other soft dollar benefits, from/to any agent, any delegate, any broker, any related company, any other associate and any other person in connection with such Transactions and customer referrals; and 要求、接受及保留或提供及支付，而從與該交易及客戶推薦相關的任何代理人、任何受委人、任何經紀人、任何關聯公司、任何其他關聯人士及其他人士處產生的佣金、現金回佣、商品和服務及其他非金錢利益；及
- (b) make and retain gains by entering into any Transactions for or with the Client at prices which are different to the prices at which VSAL has entered into with any other person (including any related company, any other associate). 獲取並保留因意博為客戶或與客戶達成的任何交易，及意博與任何其他人士（包括任何關聯公司、任何其他關聯人士）達成的交易之差價收益。

2.2 VSAL may from time to time receive underwriting, advisory, arrangement and sale fees for any Transaction from the product issuers and may act as principal and make trading profits from any back-to-back transaction. In any case, the Company's maximum monetary benefit will not exceed 15.5% of the total principal amount of any Transaction. 意博可以不時於任何交易中從產品發行人收取承銷費、諮詢費、經辦費、銷售費等，並以主事人身份自任何接連交易中賺取利潤。而無論任何情況下，本公司之最高金錢利益，將不會超過任何交易總本金金額之 15.5%。

3. NOTES TO CLIENT 對客戶的提示

3.1 For enquiries, please call your Account Manager or Customer Services Hotline at 38489000. 如欲查詢，歡迎致電客戶經理或客戶服務熱線 38489000 聯絡。

3.2 Fees and charges are subject to changes from time to time. All out-of pocket expenses incurred for the Client will be collected from the Client. 收費及費用可隨時更改。所有代客開支會向客戶收取。

3.3 VSAL has sole discretion on the offer of the above services. 意博全權酌情決定是否提供以上服務。